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S/N 09/655,543

PATENTIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	KUPPER et al.	Examiner:	ELLEN M. MCAVOY
Serial No.:	09/655,543	Group Art Unit:	1764
Filed:	09/06/2000	Docket No.:	163.1399US01
Title:	USE OF LUBRICANTS BASED ON POLYSILOXANES		

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

Ecolab Inc., a corporation organized and existing under the laws of the State of Delaware, having a place of business at Ecolab Center, St. Paul, Minnesota 55102, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of a chain of title from the inventor(s) of the patent application identified above to the current assignee as shown below.

1. From the inventors, Stefan Kupper, Michael Schneider, Walter Grosse Bowing, Alfred Laufenberg and Harald Kluschanzoff, to Henkel Ecolab GmbH & Co. OHG. The document was recorded in the Patent and Trademark Office at Reel 011296, Frame(s) 0636, or for which a copy thereof is attached.

2. From Henkel Ecolab GmbH & Co. OHG To: Ecolab Inc. The document was recorded in the Patent and Trademark Office at Reel 012739, Frame(s) 0718, or for which a copy thereof is attached.

Copies of assignments in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are

punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date:

18 June '04

By:

Mark DiPietro

Name: Mark DiPietro

Title: Attorney of Record

23552

PATENT TRADEMARK OFFICE

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12-06-2000

FORM PTO-1595

(P. v. 6-93)

OMB No. 0651-0011 (exp. 4/94)

M&G- 13470.1399US01



101541822

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Stefan KUPPER
Michael SCHNEIDER
Walter GROSSE BOWING
Alfred LAUFENBERG
Harald KLUSCHANZOFF

2. Name and address of receiving party(ies):

Henkel Ecolab GmbH & Co. OHG
Reizholzer Werftstrasse 38-42
40589 Dusseldorf
Germany

11-20-00

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ NoAdditional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: November 8, 2000

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

09/655543

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Dennis R. Daley
Address: Merchant & Gould P.C.
P.O. Box 2903
Minneapolis, MN 55403-0903

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

☒ Enclosed
☐ Authorized to be charged to deposit account

8. Please charge any additional fees or credit any overpayments to our Deposit account number: 13-2725

Statement and signature:

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9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Dennis R. Daley

Name of Person Signing

Signature

November 15, 2000

Date

Total number of pages including attachments and document: 3

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Washington, D.C. 20231

12/05/2000 MTHAI1 00000264 09655543

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CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231 on November 15, 2000.

Name: Julie J. Wang

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INSTRUCTIONS

ASSIGNMENT OF U.S. RIGHTS TO CORPORATION

WHEREAS,

Inventor(s) Name,
Address, Citizenship

1. Stefan Küpper, residing at Im Schaufsfeld 8, 40764 Langenfeld, Germany, and a citizen of Germany;
2. Michael Schneider, residing at Am Steenbleck 6, 41363 Jüchen, Germany, and a citizen of Germany;
3. Walter Große Böwing, residing at Melanderstr. 23, 41541 Dormagen, Germany, and a citizen of Germany;
4. Alfred Laufenberg, residing at Urdenbacher Str. 13, 41541 Dormagen, Germany, and a citizen of Germany;
5. Harald Kluschanzoff, residing at Klutenscheuer 22, 40822 Mettmann, Germany, and a citizen of Germany;

Date Patent Declaration
Signed and Title Serial
No. and filing date (if
known)

(herein called "THE UNDERSIGNED") have made an invention and have executed an application for Letters Patent of the United States on _____ for this invention, which application is entitled USE OF LUBRICANTS BASED ON POLYSILOXANES and which has been given Serial Number 09/655543 and the filing date of Sept. 6, 2000

Name of Corporation

AND WHEREAS Henkel Ecolab GmbH & Co. OHG (herein called "ASSIGNEE"), a corporation organized under the laws of Germany, and having an office and place of business at Reizholzer Werftstraße 38-42, 40589 Düsseldorf, Germany,

State or Country of
Incorporation and Address

wishes to acquire the entire right, title and interest in and to said invention and patent application and any Letters Patent to be obtained therefor;

NOW, THEREFORE, for and in consideration of the sum of One Dollar and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, THE UNDERSIGNED hereby sell, assign and transfer to the ASSIGNEE, its successors and assigns, the entire right, title and interest for the United States in and to the invention disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and THE UNDERSIGNED hereby authorize and request the Commissioner of Patents to issue any and all United States Letters Patent for the aforesaid invention to the ASSIGNEE of the entire right, title and interest in and to the same, for the use of the ASSIGNEE, its successors and assigns.

THE UNDERSIGNED hereby agree that THE UNDERSIGNED, their executors and legal representatives will make, execute and deliver (without charge but at the expense of the ASSIGNEE) any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said ASSIGNEE, its successors and representatives all facts known to THE UNDERSIGNED relating to said invention and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable to vest the ASSIGNEE, its successors or assigns the entire right, title and interest in and to the said invention, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

THE UNDERSIGNED represent and agree with said ASSIGNEE its successors and assigns, that no assignment grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by THE UNDERSIGNED, and that full right to convey the same as herein expressed is possessed by THE UNDERSIGNED.

IN TESTIMONY WHEREOF, THE UNDERSIGNED have hereunto set their hand on the dates indicated below.

Each inventor must sign & date

Signature: Stefan Küpper

November 8, 2000

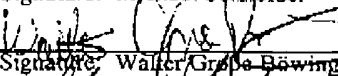
Date

Note: No legalization or other
witness required


Signature: Michael Schneider

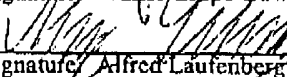
November 8, 2000

Date


Signature: Walter Gropa Böwing

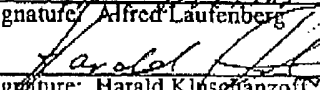
November 8, 2000

Date


Signature: Alfred Laufenberg

November 8, 2000

Date


Signature: Harald Kluschanzoff

November 8, 2000

Date

FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

M&C-13470.1399(US01)

REC

04-04-2002



102043985

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

HENKEL ECOLAB GMBH & CO. OHG

3-25-02

2. Name and address of receiving party(ies):

ECOLAB INC.
Ecolab Center
St. Paul, Minnesota 55102
United StatesAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ NoAdditional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: 18 December 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: N/A

A. Patent Application No.(s)

09/655,543

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Dennis R. Daley
Address: Merchant & Gould P.C.
P.O. Box 2903
Minneapolis, MN 55402-0903

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

☒ Enclosed
☐ Authorized to be charged to deposit account

8. Please charge any additional fees or credit any overpayments to our Deposit account number: 13-2725

Statement and signature:

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9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Dennis R. Daley
Name of Person Signing

Signature

14 March 2002

Date

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231 on 14 March 2002.

Name Heidi Hanson

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PAGE 18/20 * RCVD AT 6/18/2004 9:18:45 AM [Eastern Daylight Time] * SVR:USPTO-EFXRF-1/0 * DNIS:8729306 * CSID:6123715323 * DURATION (mm-ss):05-26

CORPORATION TO CORPORATION ASSIGNMENT

WHEREAS, Henkel Ecolab GmbH & Co. OHG, a corporation organized under the laws of Germany and having an office and place of business at Reizholzer Werftstrasse, 38-42, 40589 Düsseldorf, Germany (hereinafter referred to as the "ASSIGNOR"), has acquired by assignment the entire right, title and interest in and to United States application 09/655,543 entitled USE OF LUBRICANTS BASED ON POLYSILOXANE. The assignment was recorded at Reel 011296, Frames 0636-0638.

AND WHEREAS, Ecolab Inc., a corporation organized under the laws of Delaware and having an office and place of business at Ecolab Center, St. Paul, Minnesota, USA 55102 (hereinafter referred to as "ASSIGNEE"), wishes to acquire the entire right, title and interest in and to United States application 09/655,543;

NOW, THEREFORE, for and in consideration of good and valuable consideration delivered by the ASSIGNEE to the ASSIGNOR, the receipt and sufficiency of which is hereby acknowledged, the ASSIGNOR hereby sell, assign and transfer to the ASSIGNEE, its successors and assigns, the entire right, title and interest in and to United States application 09/655,543, and to any and all reissues, reexaminations and extensions of United States application 09/655,543, and including the subject matter of any and all claims that may be obtained in every such patent, both foreign and domestic, the same to be held and enjoyed by the ASSIGNEE, its successors, assigns or other legal representatives, to the end of the term or terms for which United States application 09/655,543 and any and all reissues, reexaminations and extensions are or may be entitled.

H 42 713 483

The ASSIGNOR hereby agrees that the ASSIGNOR, their executors and legal representatives will, upon request to ASSIGNOR, but without expense to ASSIGNOR, generally do all things which may be necessary or desirable to enable ASSIGNEE to obtain, maintain, and enforce full benefits from and record the assignment to it of the rights and interests herein assigned.

The ASSIGNOR represents and agrees with said ASSIGNEE, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the ASSIGNOR, and that full right to convey the same as herein expressed is possessed by the ASSIGNOR.

IN TESTIMONY WHEREOF, the ASSIGNOR have hereunto set their hand on the date indicated below.

Date: December 18, 2001

By:

Henkel-Ecolab GmbH & Co. OHG
ppa. ppa.

(Signature)

Name: Lutz Henschen Ralph GichtbrockTitle: Officers with statutory authority